Approved by Department 12/05/2013 Approved by Faculty Relations May 20, 2014 UFF Notified May 21, 2014 Effective Spring 2016 2016-17 Promotion Cycle

## Department of Statistics and Data Science Promotion and Tenure Guidelines

The purpose of these guidelines is to give explicit definitions of what constitutes excellence in teaching, research and service for tenure-earning and tenured faculty.

## Research:

The most common outlet for scholarly research in statistics is in journal articles appearing in refereed publications. Based on the five-year Impact Factor (IF) from the ISI Web of Knowledge Journal Citation Reports, the top 50 journals in Probability and Statistics are:

1. Journal of Statistical Software	26. Journal of Computational Biology
2. Econometrica	27. Annals of Probability
<i>3. Journal of the Royal Statistical Society</i>	28. Statistical Applications in Genetics and
Series B – Statistical Methodology	Molecular Biology
4. Annals of Statistics	29. Biometrical Journal
5. Statistical Science	30. Journal of Computational and Graphical
	Statistics
6. Stata Journal	31. Journal of Quality Technology
7. Biostatistics	32. Finance and Stochastics
8. Multivariate Behavioral Research	33. Probability Theory and Related Fields
9. Statistical Methods in Medical Research	34. British Journal of Mathematical &
	Statistical Psychology
10. Journal of the American Statistical	35. Econometric Theory
Association	
11. Annals of Applied Statistics	36. Environmental and Ecological Statistics
12. Statistics in Medicine	<i>37. Journal of the Royal Statistical Society</i>
	Series C – Applied Statistics
13. Statistics and Computing	38. Annals of Applied Probability
14. Biometrika	39. Computational Statistics & Data Analysis
15. Chemometrics and Intelligent Laboratory	40. Probabilistic Engineering Mechanics
Systems	
16. Journal of Business & Economic Statistics	41. Statistica Sinica
17. Journal of the Royal Statistical Society	42. Stochastic Processes and Their
Series A – Statistics in Society	Applications
18. Fuzzy Sets and Systems	43. Scandinavian Journal of Statistics

19. Bayesian Analysis	44. Insurance Mathematics & Economics
20. Technometrics	<i>45. TEST</i>
21. Biometrics	46. Pharmaceutical Statistics
22. Journal of Chemometrics	47. Econometric Reviews
23. Stochastic Environmental Research and	48. Electronic Journal of Statistics
Risk Assessment	
24. Oxford Bulletin of Economics and Statistics	49. Econometrics Journal
25. IEEE-ACM Transactions on	50. Journal of Multivariate Analysis
Computational Biology and Bioinformatics	

Many of these are also the topped ranked journals among North American statisticians (see *The American Statistician*, **57**:115-124, 2003). In general, articles in these journals can be considered to be first-class publications.

Based on the five-year IF from the ISI Web of Knowledge Journal Citation Reports, the second 50 top journals which are viable alternatives to those listed above include:

51. Quality & Quantity	76. Applied Stochastic Models in Business and Industry
52. American Statistician	77. Journal of Statistical Planning and Inference
53 Journal of Biopharmaceutical Statistics	78 Journal of Applied Probability
54. Advances in Data Analysis and	79. Computational Statistics
Classification	
55. Journal of Agricultural, Biological and	80. Methodology and Computing in Applied
Environmental Statistics	Probability
56. Environmetrics	81. Metrika
57. Bernoulli	82. Combinatorics Probability & Computing
58. Survey Methodology	83. Journal of Nonparametric Statistics
59. Statistics	84. Probability in the Engineering and
	Informational Sciences
60. Lifetime Data Analysis	85. Electronic Communications in Probability
61. Annales de l Institut Henri Poincare –	86. Statistica Neerlandica
Probabilites et Statistiques	
62. Journal of Time Series Analysis	87. Statistics & Probability Letters
63. Electronic Journal of Probability	88. International Journal of Game Theory
64. International Statistical Review	89. Journal of Theoretical Probability
65. R Journal	90. Statistical Methods and Applications
66. Astin Bulletin	91. Stochastics and Dynamics
67. AStA – Advances in Statistical Analysis	92. Journal of Statistical Computation and
	Simulation
68. Canadian Journal of Statistics	93. Stochastic Analysis and Applications
69. Open Systems & Information Dynamics	94. Stochastic Models
70. Statistical Modelling	95. Journal of Applied Statistics
71. Advances in Applied Probability	96. Statistics in Biopharmaceutical Research

72. Annals of the Institute of Statistical	97. Communication in Statistics – Simulation
Mathematics	and Computation
73. Australian & New Zealand Journal of	98. Theory of Probability and Its Applications
Statistics	
74. Statistical Papers	99. Infinite Dimensional Analysis Quantum
	Probability and Related Topics
75. Mathematical Population Studies	100. SORT – Statistics and Operations
	Research Transactions

These journals are respectable outlets for publications and by no means should they be considered second-rate.

Other journals that did not make the top 100 but were included in the category of Probability and Statistics were as follows: Journal of the Korean Statistical Society, Communication in Statistics – Theory and Methods, Hacettepe Journal of Mathematics and Statistics, Utilitas Mathematica, ALEA – Latin American Journal of Probability and Mathematical Statistics, Brazilian Journal of Probability and Statistics, ESAIM – Probability and Statistics, Extremes, Journal of Official Statistics, Pakistan Journal of Statistics, Probability and Mathematical Statistics – Poland, Quality Engineering, Quality Technology and Quantitative Management, REVSTAT – Statistical Journal, Scandinavian Actuarial Journal, and Stochastics – An International Journal of Probability and Stochastic Processes.

Several other journals have substantial statistical research content but have not been categorized within the Probability and Statistics area. Examples include but are not limited to the following: *American Journal of Epidemiology, Journal of Econometrics, Psychometrika, Quality and Reliability Engineering International, Risk Analysis, Sankhya* (Series A), *The Statistician, Journal of Statistical Theory and Practice*, and *Statistical Analysis and Data Mining*. Publications in these journals are deemed on par with those in the ISI list. Other statistics journals not mentioned above have emerged recently and it is probably best to consider the contributions to these outlets on an individual basis since important results can be found in these publications.

Articles in conference proceedings that are peer reviewed are considered equivalent to refereed journal articles. Articles in conference proceedings that are reviewed by the editor or an editorial panel are typically of lesser value and need scrutiny by the Chair.

It is common and indeed desirable for statistics faculty to collaborate with researchers in other disciplines and generate articles which naturally appear outside the usual set of statistical journals. Such contributions represent a viable addition to the faculty member's research record provided the statistical content is of high quality, pushing the state of the art of the discipline or in some cases, developing new statistical methodology as an outgrowth to the solution of a real problem. Publications in journals such as Nature, Science, or the Proceedings of the National Academy of Sciences are deemed as outstanding outlets of research. Publications in flagship journals in other disciplines are also recognized as significant (e.g., Bulletin of the American Meteorology Society, Ecology, etc.).

Case-by-case assessment is required to differentiate between true research publications and accounts of statistical consulting of a routine nature. Occasionally, statisticians are asked to provide a "seal-of-approval" to a procedure extracted from a statistics textbook by someone in another discipline. Activities along these lines are best associated with service activity.

Other contributors to a research program include the production of graduate level textbooks or influential upper division statistics texts. Evaluation of these contributions can be assessed through their adoption at other universities and their influence as measured by citation indexes.

External funding is desirable for all faculty members. Sources for research funding in statistics are limited. More commonly, funding may be secured in the context of an interdisciplinary project. It is rare to get funding from the National Science Foundation, for example, to pursue pure research endeavors in statistics.

Promotion from Associate to Full Professor based on research productivity will typically necessitate that all of the following be satisfied:

- at least 3 publications in the top 50 journals listed above over the past 5 years or production of 1 graduate level textbook or influential upper division statistics textbook adopted at a university other than UCF over the past 5 years or since last promotion, whichever is less
- at least 5 publications in the top 100 journals listed above or in those listed above that did not get categorized into Probability and Statistics, over the past 5 years or since last promotion, whichever is less
- at least 10 publications in the top 100 journals listed above, in those in Probability and Statistics that did not make the top 100 list, in those listed above that did not get categorized into Probability and Statistics or in flagship journals in other disciplines in which the statistical content is of high quality, over the past 5 years or since last promotion, whichever is less
- external funding through ORC or the Research Foundation for a total amount of funds that is equivalent to at least 4 months salary over the past 5 years or since last promotion, whichever is less

Promotion from Assistant to Associate Professor based on research productivity will typically necessitate that all of the following be satisfied:

- at least 2 publications in the top 50 journals listed above over the past 5 years or since appointment
- at least 4 publications in the top 100 journals listed above or in those listed above that did not get categorized into Probability and Statistics, over the past 5 years or since appointment
- at least 7 publications in the top 100 journals listed above, in those listed in Probability and Statistics that did not make the top 100 list, in those listed above that did not get categorized into Probability and Statistics or in flagship journals in other disciplines in which the statistical content is of high quality, over the past 5 years or since appointment
- external funding through ORC or the Research Foundation for a total amount of funds that is equivalent to at least 2 months salary over the past 5 years or since appointment

## **Teaching**:

Excellence in teaching involves maintaining currency in the subject matter and excellence in delivery as revealed (at least partially) through student evaluations. Currency includes both the content of courses (as commonly reported in the teaching oriented journals such as *The American Statistician*) and the computer support activities in conjunction with certain courses. A faculty member is expected to be proficient in the statistical packages or languages used within the courses that they are teaching. The Department is responsible for providing equipment and software for use by the faculty in conducting the courses. Currency can also be demonstrated through regular course revisions, new course development, innovations in the delivery of courses (e.g., incorporating critical thinking or writing into the course or developing an online version of an existing course), attendance of teaching workshops (e.g., workshops sponsored by the FCTL) or professional development (e.g., completion of IDL6543 offered by the Center for Distributed Learning). For delivery of courses taught previously by a colleague who through professional courtesy provides course lecture notes, it is expected that the delivery represent a complete mastery of the inherited material with corrections made as necessary.

Graduate faculty are expected to be capable of contributing to the teaching mission of the Department at all levels, including undergraduate service courses, undergraduate courses in the major and graduate level courses in their subject area. Participating on thesis committees (M.S. or Ph.D.) or directing theses is considered a plus for faculty.

Promotion from Associate to Full Professor based on teaching will typically necessitate that all of the following be satisfied:

- satisfactory or better chair's evaluations of teaching for each year over the past 5 years or since last promotion, whichever is less
- at least two of the following where selection can be done with replacement: course revision, new course developed or significant innovation of delivery
- attendance of at least 1 FCTL workshop over the past 5 years or since last promotion, whichever is less
- completion of IDL6543
- participation on at least 5 thesis committees over the past 5 years or since last promotion, whichever is less

Promotion from Assistant to Associate Professor based on teaching will typically necessitate that all of the following be satisfied:

- satisfactory or better chair's evaluations of teaching for each year over the past 5 years or since appointment
- at least one of the following: course revision, new course developed or significant innovation of delivery
- attendance of at least 1 FCTL workshop over the past 5 years or since appointment
- completion of IDL6543

## Service:

Excellence in service includes editorial activities, committees of professional societies, university service and consulting activities. Editorial activities are demonstrated through refereeing for the journals mentioned in Research or through work as associate editor or editor of journals. The same hierarchy of the journals applies here to review work. Service can also be demonstrated through contributions on statewide, national and international committees and boards (e.g., ASA, IMS, USA TAG or ISO delegations). Review of proposals for NSF, NIH, and so forth also constitute viable service activity. Consulting activities are demonstrated through joint publications with clients and funding from contracts and grants.

Promotion from Associate to Full Professor based on service will typically necessitate that all of the following be satisfied:

- refereed at least 5 papers from the top 100 journals listed above, in those in Probability and Statistics that did not make the top 100 list, in those listed above that did not get categorized into Probability and Statistics or in flagship journals in other disciplines in which the statistical content is of high quality, over the past 5 years or since last promotion, whichever is less, or served as editor or associate editor each year over the past 5 years or since last promotion, whichever is less promotion, whichever is less, or some combination of refereed papers and annual editorial service totaling 5 or the number of years since last promotion, whichever is less
- service on at least 1 department, college or university committee each year for the past 5 years or since last promotion, whichever is less
- service on at least 1 committee of a professional society or a review board at the state, national or international level during the past 5 years or since last promotion, whichever is less

Promotion from Assistant to Associate Professor based on service will typically necessitate that all of the following be satisfied:

- refereed at least 3 papers from the top 100 journals listed above, in those in Probability and Statistics that did not make the top 100 list, in those listed above that did not get categorized into Probability and Statistics or in flagship journals in other disciplines in which the statistical content is of high quality, over the past 5 years or since appointment
- service on at least 1 department, college or university committee each year for the past 5 years or since appointment