UCF FE Approved: May 2, 2025 First Use in Academic Year: 2025-2026

## UNIVERSITY OF CENTRAL FLORIDA DEPARTMENT OF ENGLISH

Guidelines for Annual Evaluation of Tenure Line and Instructor/Lecturer Faculty Department Members

Working group draft 0.3. Revisions based on faculty meeting suggestions, including the addition of "OR" for clarification; additional notes regarding multi-year credit for large grants, professional organization service, and guest lectures have been added. Other modifications and tracking have been removed for clarity.

Standards and Ratings for Tenure-Earning, Tenured, and Visiting Professors
The Chair of the English Department will evaluate the performance of each faculty member annually and assign a rating of Outstanding, Above Satisfactory, Satisfactory, Conditional, or Unsatisfactory.

- Outstanding is reserved for exceptional performance. It indicates excellence in the profession and adherence to the highest standards.
- Above Satisfactory indicates performance above expectation for the assignment.
- Satisfactory indicates performance that is at expectation for the assignment.
- Conditional indicates substandard performance; written instructions for improvement will be provided to the faculty member by the Chair or designee.
- Unsatisfactory indicates substandard performance in relation to written instructions for improvement from chair or designee.

The overall annual evaluation level for full-time faculty members will be determined according to their percentage of effort in each category (i.e., Instructional Activities, Research and Creative Activities, Service, and Other, if relevant) using a mathematical formula based on each faculty member's distribution of percentage of effort in each category for the given year. The annual percentage of effort assignment for each category will be multiplied according to the following scale (Outstanding = 4, Above Satisfactory = 3, Satisfactory = 2, Conditional = 1, Unsatisfactory = 0), and the results from each category will be averaged to determine the overall evaluation. The resulting total will be assigned an overall value according to the following scale:

Outstanding: 3.50-4.00

Above Satisfactory: 2.50-3.49

Satisfactory: 1.50-2.49Conditional: 0.50-1.49

Unsatisfactory: 0.00-0.49.

**Rubrics and Evaluation Process** 

The rubrics provided are to assist faculty in preparing their case for their overall evaluation in each category (Teaching, Research, and Service). Teaching is assessed based on assigned activities conducted as part of the annual FTE. There are four criteria in each category. To receive an overall outstanding, faculty should have achieved ratings as outlined below, with no more than one N/A criterion per category. Thus, faculty must

participate in 3 of 4 types of activity in Teaching and Research, and 2 of 3 in Service. The allowance for one N/A criterion per category permits a differentiation of areas in which a faculty member might not have commitments: for instance, an instructor focused on the classroom might not have evidence of mentorship beyond the classroom, and a pre-tenure assistant professor is not expected to perform university service.

Faculty members will prepare a substantial paragraph (300-500 words each for teaching, research, and service) arguing for their placement in each category per the criteria. Evidence should be annotated on the CV. Annotations should take the following format:

- Lines relevant to the review period, including talks, publications, service, and other documentation, should be bolded, highlighted, or otherwise marked for ease of identification.
- Each relevant line should bear a comment, either below and indented or using a word processing comment feature.
- Comments should include relevant data as available. Publications identified by the faculty as significant should include annotation regarding impact factor, selectivity or prestige of venue, circulation figures, reviews, or similar evidence as per the guidelines, for example.

When self-reported evidence cannot be verified externally (such as a URL to a publication, award, etc. on the web), faculty can include supporting documents such as PDFs of emails reflecting acceptance or review. That documentation should be included in an additional packet not to exceed 3 significant items per category. All submitted materials, including the paragraph overview, packet, and annotated CV, will be relied upon by the chair to evaluate the quality, impact, and scale of the annual output in each category.

## Teaching and Instructional Activities

The Department of English is committed to excellence in teaching and maintaining the highest standards of the profession. While a set of fairly objective standards has been established by the discipline at large, it is also recognized that a wide range of conditions must be taken into account by the Chair or supervisor in the evaluation process. The criteria for teaching assessment comprise four sub-categories:

- Course Preparation. Course preparation can be demonstrated by the quality of
  class materials. Beyond meeting baseline UCF requirements and expectations
  (maintaining office hours, teaching assigned classes as scheduled, meeting
  syllabus requirements, ordering textbooks on time, etc.), faculty can demonstrate
  excellence by implementing high impact practices in the classroom (including, but
  not limited to, via UCF-designated official HIP designations or by showing evidence
  with course syllabus, assignments, etc.), engaging in regular updates of course
  materials, and demonstrating responsiveness to student needs and curricular
  structure.
- Classroom or Department Impact. Instructor effectiveness will be measured through SPOIs and/or classroom evaluations. All tenure-earning faculty will receive

two classroom visits per year by the Chair or designee. Other faculty can invite classroom evaluations by the Chair or a designee, or a peer to serve as evidence and demonstrate commitment to improvement, particularly in response to low SPOIs. Additional department-level classroom impact includes guest lectures for colleagues.

- External Engagement and Impact. Engagement and impact beyond the
  department includes work at UCF and in the profession. This might include teaching
  workshops, participating in FCTL events, receiving UCF quality and high quality
  course badges, and/or other recognition(s) at the University level or from an
  appropriate disciplinary organization. Additional external-level impact includes
  guest lectures for courses in other departments and colleges at UCF, or external to
  the university.
- Student Mentoring. Student mentoring includes activities such as advising; thesis, dissertation, and graduate project direction and committee service; independent studies; HUT theses or coursework; student conferences; guest presentations; mentorship of GTAs; internship supervision; or other documented forms of mentorship. Evidence of impact could include student job placement, graduate school offers and/or acceptances, fellowship or scholarship awards, publications, literary awards and honors, presentations, thank you letters, and other outcomes of this work. Service as chair of a committee (graduate or HUT) counts in all years of official committee leadership, while service as a committee member is only counted in the year of defense.

Additional evidence not documented within the CV attesting to one of these areas of impact might include assignment handouts, papers, and other products from supervised students, news coverage, interviews, and written evaluations and recognitions. Evidence should primarily reflect the faculty member's academic year teaching assignments but can include relevant work from summer (including summer teaching and mentoring) when appropriate.

To receive an overall outstanding in teaching, faculty should have either achieved:

- Outstanding in at least one criterion, with no more than one criterion as N/A
- OR
- At least half of the criteria above satisfactory, with no more than one criterion as N/A

To receive an overall above satisfactory in teaching, faculty should either have achieved:

- Above satisfactory in at least one criterion, with no more than one criterion as N/A
- OR
- At least half of the criteria satisfactory, with no more than one criterion as N/A To receive an overall satisfactory in teaching, faculty should have achieved:
- Satisfactory in at least half of the criteria, with no more than one criterion as N/A To receive an overall conditional in teaching, faculty should have achieved:
- At least half of the criteria at conditional, with no more than one criterion as N/A All faculty not meeting one of these conditions will receive unsatisfactory.

	Outstandin g	Above Satisfactor V	Satisfactory	Condition al	Unsatisfact ory	N/A
Course Preparati on	significant implementa tion of high impact practices, good	some implementa tion of high- impact practices, course	Class materials and self-reporting well organized, with consistent adherence to textbook and syllabus requirements	reporting inconsiste nt; concerns regarding adherence to textbook order and	meeting requirement s, such as textbook, syllabus, and course organization expectation	(N/A is not available in Course Preparati on)

Course preparation can be demonstrated by the quality of class materials. Beyond meeting baseline UCF requirements and expectations (maintaining office hours, teaching assigned classes as scheduled, meeting syllabus requirements, ordering textbooks on time, etc.), faculty can demonstrate excellence by implementing high impact practices in the classroom (including, but not limited to, via UCF-designated official HIP designations or by showing evidence with course syllabus, assignments, etc.), engaging in regular updates of course materials, and demonstrating responsiveness to student needs and curricular structure. Please state how your teaching ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

	At least two:	At least two:	SPOIs at or within	Condition	Unsatisfact	Faculty
Classroo	Outstanding	Above	standard deviation	al	ory	member
	assessment	satisfactory	of department	departme	department	has opted
m or Departm	s by chair or	assessment	norms,	nt chair or	chair or	out of this
Departin	designee;	s by	satisfactory assess	designee	designee	category

above department al, college, and university mean; earning CDL or UCF badges, evidence of significant sharing of course materials as a mentor to other faculty; and/or	SPOIs in majority of courses above department al mean; evidence of progress towards CDL or UCF badges; evidence of some sharing of materials; and/or supporting department curricular	J	below norm between 1 and 49% of the time; requested FCTL observatio n to diagnose problems	majority of courses taught are below department norm	
<u>department</u>					

Classroom or department impact will be measured through SPOIs and/or classroom evaluations. All tenure-earning faculty will receive two classroom visits per year by the Chair or designee. Other faculty can invite classroom evaluations by the Chair or a designee, or a peer to serve as evidence. Please state how your teaching ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

External Engagem ent + Impact	internal teaching award (such as TIP), led community pedagogy through publications and/or workshops external to UCF, publication of substantial open educational resources (OER);	commitmen t to pedagogical sharing and learning, received UCF badges, developmen t of open educational resources (OER); some	Some evidence of FCTL participation or classroom evaluation, demonstrable progress toward UCF badges, and/or seeking other pedagogical resources and mentorship	Little evidence of engageme nt with external teaching discourse or practices	No evidence of engagement with external teaching discourse or practices	member has opted out of this category
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Engagement and impact beyond the department includes work at UCF and in the profession. This might include teaching workshops, participating in FCTL events, receiving UCF quality and high quality course badges, and/or other recognition(s) at the University level or from an appropriate disciplinary organization. Please state how your teaching ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Student Mentorin g	Engaged in significant mentorship work with strong evidence of impact, including ongoing impact, including chairing or serving on multiple completed thesis or dissertation projects, student job / graduate program placements , publications with or by students, and/or awards and presentations by students	project by an advisee, student job / graduate program placements , publications	Engaged in some student mentorship	Engaged in minimal student mentorshi p outside of the traditional classroom	Engaged in no student mentorship work	Faculty member has opted out of this category
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Student mentoring includes activities such as advising; thesis, dissertation, and graduate project direction and committee service; independent studies; HUT theses or coursework; student conferences; guest presentations; mentorship of GTAs; internship supervision; or other documented forms of mentorship. Evidence of impact could include student job placement, graduate school offers and/or acceptances, fellowship or scholarship awards, publications, literary awards and honors, presentations, thank you letters, and other outcomes of this work. Service as chair of a committee (graduate or HUT) counts in all years of official committee leadership, while service as a committee member is only counted in the year of defense. Please state how your teaching ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Research and Creative Activities

Each specialty within the Department has a different set of standards for judging the prestige of research and creative activity. The rubric below offers a rough guideline for evaluation, but the differences among specialties as well as interdisciplinarity should be taken into account by the Chair during the annual evaluation process. Work should be explained in the summary statement and annotated appropriately on the CV. The criteria for research assessment fall into four categories:

- Publications Impact. Academic and creative publications provided as evidence
  can vary widely by discipline, and thus faculty are expected to provide brief
  annotations on the CV with additional support in their statement to clarify the
  impact and significance of their specific publications. This might include measures
  such as national or international reputation and ranking, acceptance rates, and
  competitiveness, as well as the substantiality of non-creative work as measured by
  length (for distinguishing full papers from short papers and extended abstracts).
- Presentations (Conferences, Readings, Keynotes, Performances). This usually
  includes talks at juried and peer-reviewed academic and creative events as primary
  evidence of research and creative activity; however, notable keynotes and/or invited
  talks from major organizations can also provide evidence of excellence. Impact can
  be supported through scale, competitiveness, and international or national
  reputations of venues. International, national, or regional designation refers to the
  scope of participation rather than the conference's physical location.
- Funding (Internal + External). This includes both internal and external funding awards as well as evidence of engagement in the process of pursuing funding, which might include receiving training in grant writing, submitting grant applications, or participating in funding mentorship programs. Grants will be counted for all years of activity to recognize the multi-year commitment funding frequently represents.
- Impact over Time. As work in the humanities frequently takes time to circulate and
  receive citations and awards, faculty can provide evidence of impact over time.
  Evidence might include book and research/creative awards, significant citations
  above the norms of the subdiscipline and faculty member's career stage, usage of
  digital projects or open access projects, works in translation, film adaptations,
  books entering multiple printings, anthologized publications, and similar external
  metrics.

Judgments of research productivity will be made in light of the portion of faculty members' assignments that are assigned to research and with consideration of the scholarly genres and expectations in their fields. Similarly, collaboration is the norm in some subspecialties, while solo authorship is more common in others. For those submitting collaborative work, the CV should include annotation indicating the author's role in the project, and work in which the author had taken a significant role will be weighted based on contribution, with first author and principal investigator (PI) roles valued most highly.

It should be noted that, regardless of specialty, publications are the primary goal of scholarly and creative research in English. At the same time, it is recognized that the publication cycles of academic presses, peer-reviewed journals, proceedings conferences, literary magazines, and the like may result in a faculty member's productivity being skewed

from one year to the next. As a result, the rubric offers opportunities for noting milestones and long-term impact from larger projects in the evaluation.

To receive an overall outstanding (score of 4) in research, faculty should have either achieved:

- Outstanding in at least one criterion, with no more than one criterion at N/A
- OR
- At least half of criteria above satisfactory, with no more than one criterion at N/A

To receive an overall above satisfactory (score of 3) in research, faculty should either have achieved:

- Above satisfactory in at least one criterion, with no more than one criterion at N/A
- OR
- At least half of criteria above satisfactory, with no more than one criterion at N/A

To receive an overall satisfactory (score of 2) in research, faculty should have achieved:

• Satisfactory in at least half of criteria, with no more than one criterion at N/A

To receive an overall conditional (score of 1) in research, faculty should have achieved:

• A majority of criteria at conditional, with no more than one criterion at N/A

All faculty not meeting one of these conditions will receive unsatisfactory.

	Outstanding	Above Satisfactor y	Satisfactor y	Conditional	Unsatisfacto ry	N/A
Publications	Multiple	Significant	Documente	Evidence of	No evidence	(N/A is not
Impact	significant	new peer-	d progress	progress,	of new	available
	new peer-	reviewed or	toward	but no new	publications-	for
	reviewed or	juried	significant	publications	related work	Publicatio
	juried	publication	new	or		ns Impact)
	publications,	appropriate	publication	milestones		
	including	to the	s, or minor	(such as		
	academic	discipline,	publication	contract,		
	and creative	with	s speaking	acceptance,		
	as	evidence of	toward a	completed		
	appropriate,	impact or	larger	drafts, etc.)		
	or one major	quality as	project or			
	(i.e. book)	listed	goals			
	appropriate	above				
	to the					

discipline,			
with			
evidence of			
impact or			
quality as			
listed above			

Academic and creative publications provided as evidence can vary widely by discipline, and thus faculty are expected to provide brief annotations on the CV with additional support in their statement to clarify the impact and significance of their specific publications. This might include measures such as national or international reputation and ranking, acceptance rates, and competitiveness, as well as the substantiality of noncreative work as measured by length (for distinguishing full papers from short papers and extended abstracts). Please state how your research ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Presentation	Multiple	Significant	Regional	No talks, but	No evidence	Faculty
s	significant	talk at a	talks, or	evidence of	of activity	member
(Conference	peer	peer	multiple	efforts	toward talks	has opted
s, Readings,	reviewed or	reviewed or	local talks	(submission		out of this
Keynotes,	juried	juried		s)		category
Performance	presentation	national or				
s, etc.)	s or one	internation				
	major invited	al venue				
	(i.e. national	appropriate				
	or	to the				
	international	discipline,				
	keynote,	or an				
	visiting writer	invited talk,				
	presentation	with				
	) appropriate	evidence of				
	to the	impact or				
	discipline,	quality as				
	with	listed				
	evidence of	above				
	impact or					
	quality as					
	listed above					

This usually includes talks at juried and peer-reviewed academic and creative events as primary evidence of research and creative activity; however, notable keynotes and/or invited talks from major organizations can also provide evidence of excellence. Impact can be supported through scale, competitiveness, and international or national reputations of venues. International, national, or regional designation refers to the scope of participation rather than the conference's physical location. Please state how your research ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Funding	External	Internal	No funding	No funding	No funding	Faculty
(Internal and	funding	funding	received,	received or	activity	member
External)	received as	received as	but at least	submitted,		has opted
	PI or Co-PI	PI or Co-PI	one	but evidence		out of this
	(new or	(new or	submission	of activity		category
	ongoing <u>,</u>	ongoing <u>,</u>		(attendance		
	credited in	<u>credited in</u>		at		
	all years of	all years of		workshops		
	funding for	funding for		to learn		
	<u>multi-year</u>	<u>multi-year</u>		grant		
	<u>projects</u> )	<u>projects</u> )		writing)		

This includes both internal and external funding awards as well as evidence of engagement in the process of pursuing funding, which might include receiving training in grant writing, submitting grant applications, or participating in funding mentorship programs. Grants will be counted for all years of activity to recognize the multi-year commitment funding frequently represents. Please state how your research ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Impact over	Evidence of	Evidence of	Evidence of	Evidence of	No evidence	Faculty
Time (Self-	substantial	ongoing	research	research	of research	member
reported	ongoing	research	impact	impact	impact	has opted
evidence)	research	impact,	consistent	included,	provided	out of this
	impact	either	with	but minor		category
	external to	external	discipline	(some		
	UCF (review	(citation or	norms	citations, as		
	of book in	readership	(College-	documented		
	major	activity	level	in CV)		
	publication,	above	research			
	book award	discipline	awards,			
	(including,	norms,	citations at			
	for creative	usage of	a level			
	works,	open	consistent			
	longlists,	access	with			
	shortlists,	materials)	discipline			
	and finalists	or internal	norms and			
	for national	UCF	career			
	awards and	research	stage)			
	prizes),	awards				
	research					
	and/or					
	creative					
	awards from					
	external					
	organization					
	s), won a RIA					

As work in the humanities frequently takes time to circulate and receive citations and awards, faculty can provide evidence of impact over time. Evidence might include book and research/creative awards, significant citations above the norms of the subdiscipline and faculty member's career stage, usage of digital projects or open access projects, works in translation, film adaptations, books entering multiple printings, anthologized publications, and similar external metrics. Please state how your research ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

## Service

All members of the Department are expected to share in the work of the Department. All members are expected to attend Department meetings, serve on Department committees, attend UCF graduation ceremonies as needed, and serve in other roles during any term spent in residence at the University when not excused entirely for a period of time for sabbatical or medical leave. In addition, faculty (excluding new hires in their first two years at UCF) engage in service work for the University. All faculty perform service work for their discipline and profession. Faculty members should not expect to receive a Satisfactory evaluation for service if they do not meet these minimum expectations.

All tenured faculty members are expected to participate actively in the annual cumulative progress evaluation process concerning the tenure-earning faculty; all tenured faculty are expected to participate in the tenure review process when a colleague applies for tenure and promotion to associate professor; and all professors to participate when a colleague applies for promotion to professor. More senior members are expected to assume leadership and mentorship roles appropriate to their experience and expertise.

The criteria for service assessment fall into three categories:

- Departmental Service. Departmental service includes participation on both standing departmental committees and other ad-hoc committees that do the work of the department, such as job searches and special working groups. Departmental service also includes mentoring junior faculty either formally through department assignment or informally through providing course materials, etc. Impact can be demonstrated through engaging in leadership roles or receiving recognition for service work.
- Institutional Service. Institutional service includes participation on standing CAH or University committees, such as the Texts & Technology faculty committee and required subcommittees, College-level awards committees, scholarship committees, Chair-review committees; Faculty Senate and subcommittees; as well as other ad-hoc committees and working groups formed to do the work of the College or University. Fellow roles in other units (such as FCTL) can also be Institutional service and leadership. Impact can be demonstrated through engaging in leadership roles or receiving recognition for service.
- Professional Service. Professional service varies widely and might include service
  in disciplinary organizations and/or work related to the discipline with community
  organizations. Leadership roles such as journal editing, conference organization,
  officer positions, and so forth are ways to demonstrate excellence in professional
  service. Impact can be demonstrated through engaging in leadership roles or
  receiving external recognition for service.

To receive an overall outstanding (score of 4) in service, faculty should have either achieved:

- Outstanding in at least one criterion, with no more than one criterion at N/A
- =OR
- At least half of criteria above satisfactory, with no more than one criterion at N/A

To receive an overall above satisfactory (score of 3) in service, faculty should either have achieved:

- Above satisfactory in at least one criterion, with no more than one criterion at N/A
- OR
- At least half of criteria satisfactory, with no more than one criterion at N/A

To receive an overall satisfactory (score of 2) in service, faculty should have achieved:

• Satisfactory in at least half of criteria, with no more than one criterion at N/A

To receive an overall conditional (score of 1) in service, faculty should either have achieved:

• A majority of criteria at conditional, with no more than one criterion at N/A

All faculty not meeting one of these conditions will receive unsatisfactory.

Outstandi ng	Above Satisfactory	Satisfactory	Conditional	Unsatisfacto ry	N/A
Departme nt leadership , through either program roles or committee leadership , and/or consistent service across multiple committee	A mix of consistent service across multiple committees or one laborintensive department committee such as a search committee, or notable faculty mentorship	Active, involved participation on at least one department committee and formal or informal faculty mentorship	Minimal participation on a department committee	No participation on department committees	(N/A is not available in Departm ent Service)

substantia			
l faculty			
mentorshi			
p with			
evidence			
of impact			
(including			
service			
awards, if			
applicable			
)			

Departmental service includes participation on both standing departmental committees and other ad-hoc committees that do the work of the department, such as job searches and special working groups. Departmental service also includes mentoring junior faculty either formally through department assignment or informally through providing course materials, etc. Impact can be demonstrated through engaging in leadership roles or receiving recognition for service work. Please state how your service ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Institution	Leadershi	Consistent	Active,	Participation	No	Faculty
al	p in the	service	involved	on a	participation	member
	college	across	participation	college/univer	on	has
	and/or	multiple	on at least	sity	college/univer	opted out
	university,	college/univer	one	committee	sity	of this
	through	sity	college/univer		committees	category
	either	committees	sity			
	program	or one labor-	committee			
	roles or	intensive				
	committee	committee				
	leadership					
	, and/or					
	consistent					
	service					
	across					
	multiple					
	college					
	and/or					
	university					
	committee					

s, with			
evidence			
of impact			
(including			
service			
awards or			
recognitio			
n, if			
applicable			

Institutional service includes participation on standing CAH or University committees, such as the Texts & Technology faculty committee and required subcommittees, College-level awards committees, scholarship committees, Chair-review committees; Faculty Senate and subcommittees; as well as other ad-hoc committees and working groups formed to do the work of the College or University. Fellow roles in other units (such as FCTL) can also be Institutional service and leadership. Impact can be demonstrated through engaging in leadership roles or receiving recognition for service. Please state how your service ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.

Profession	Significant	Some	Consistent	Minimal	No	Faculty
al	leadership	leadership or	service,	professional	professional	member
	in	substantial	involving	service, such	service	has
	profession	organization	organization	as conference		opted out
	al	involvement,	committee	or peer		of this
	organizatio	either across	membership	reviewing for		category
	ns and/or	one or	or consistent	fewer than 5		
	the	multiple	reviewing	publications		
	communit	organizations	(more than 5	or events		
	y, such as		publications /	total		
	journal		events total)			
	editing,					
	conferenc					
	е					
	organizatio					
	n, and/or					
	external					
	service					
	awards					

Professional service varies widely and might include service in disciplinary organizations, professional organizations, and/or work related to the discipline with community organizations. Leadership roles such as journal editing, conference organization, officer positions, and so forth are ways to demonstrate excellence in professional service. Impact can be demonstrated through engaging in leadership roles or receiving external recognition for service. Please state how your service ranks in the above categories and list or explain your rationale for your ranking, as supplemented by your annotated CV.